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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Dutta et al.**

Serial No.: **09/383,742**

Filed: **August 26, 1999**

For: **System and Method for  
Incorporating Semantic  
Characteristics into the Format-  
Driven Syntactic Document  
Transcoding Framework**

§ Group Art Unit: **2771**

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§ Examiner: **Unknown**

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§ Attorney Docket No.: **AT9-99-310**

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**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on January 28, 2003.

By:

*Krista Douthitt*  
Krista Douthitt

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**FEB 06 2003**  
**Technology Center 2100**

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HERewith:

- Copy of Australian Patent Office Search Report;
- Copy of European Search Report;
- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AH; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

*Duke W. Yee*

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**35525**

PATENT TRADEMARK OFFICE

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.


In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which

may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits or each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: January 28, 2003

  
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